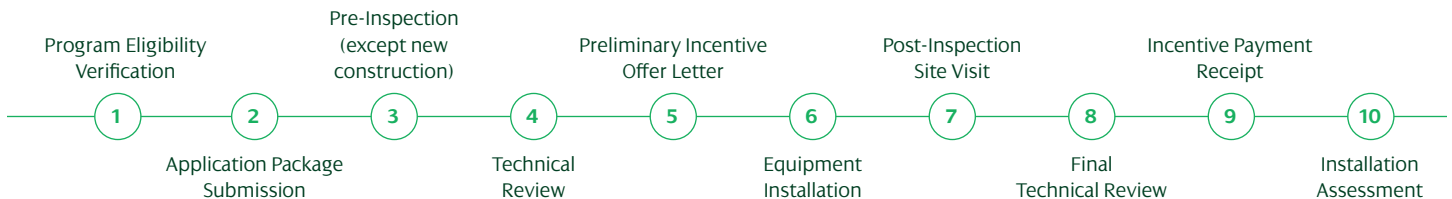


Market Rate Multifamily and Non-Residential Building Electrification Program

Get incentives for installing energy-efficient electric heat pump systems for space and water heating.



Eligibility for incentives

- Market Rate Multifamily buildings with five or more dwelling units in scope. Affordable multifamily buildings not eligible for incentives.¹
- Eligible measures include air-source VRF, large unitary heat pumps, heat recovery chillers, SPVHPs, DOAS or ERV/HRV. Refer to the eligibility section of the Market Rate Multifamily and Non-Residential Building Electrification Rebate Catalog for the full list of eligible measures.²

How to participate

- 1. Program Eligibility Verification:** Consult the Market Rate Multifamily and Non-Residential Building Electrification Rebate Catalog or contact a program representative. Small-to-Medium business customers are not required to fill out this form. Please consult a program representative to determine eligibility.
- 2. Application Package Submission:** Email your application to your program representative at nyseg_rge_be@icf.com.
- 3. Pre-Inspection:** NYSEG or RG&E will document the existing condition of the site. This is not required for eligible ground-source heat pump and domestic hot water heating new construction projects. Air-source heat pumps are not eligible for new construction incentives.
- 4. Technical Review:** NYSEG or RG&E will review the technical documentation to determine preliminary savings and incentives.
- 5. Preliminary Incentive Offer Letter:** NYSEG or RG&E will issue an offer letter that identifies incentives and payees. Building owner or representative to sign and return to confirm payee(s).
- 6. Equipment Installation:** When the equipment is operable, notify your program representative and submit the final project invoices.
- 7. Post-Inspection Site Visit:** NYSEG or RG&E will inspect the site to confirm all work was installed in accordance with the project application.
- 8. Final Technical Review:** NYSEG or RG&E will review the final project invoices and post-inspection findings, revising energy savings calculations as necessary, and determine the final savings and incentive.
- 9. Incentive Payment Receipt:** NYSEG or RG&E will pay the incentive to the customer or their designee as listed on this application.
- 10. Installation Assessment:** NYSEG or RG&E may select the project for a quality assurance site visit, in accordance with Section 8 of the Market Rate Multifamily and Non-Residential Building Electrification Program Manual.

Application package – required documents

Administrative documents

- Completed application
- Incentive recipient W-9 (if payee is not Participating Contractor)
- Cost estimate for proposed work, including labor and materials

¹ For guidance on Market Rate vs. LMI Multifamily requirements, refer to Appendix 2 of the Market Rate Multifamily and Non-Residential Building Electrification Rebate Catalog.

² New construction eligibility is limited to ground-source heat pump and domestic hot water heating projects only. Air-source heat pump new construction projects are not eligible for incentives.

Documents for technical review

All projects

- Description of project and inventory of equipment to be installed, such as a proposal, statement of work, or mechanical schedule. Please describe what will happen to the existing heating system and any backup or supplemental heating system(s).
- AHRI certificates and/or NEEP certificates for all proposed heat pump equipment.
- Cutsheets for other proposed equipment.
- Building heating and cooling loads (e.g., Btu/h) following ACCA Standard 183-2007 (RA2017) or other code-approved equivalent computational procedure. Include both model inputs and outputs.
- Custom calculator (recommended).³

For ground-source heat pumps

- Ground loop design report with average, minimum and maximum entering water temperatures and bore field pumping information.

For ERV/HRV

- Whether or not the ERV/HRV is required by code. If not, provide a summary referencing section C403.7.4 of the 2020 Energy Conservation Construction Code of New York State.

For domestic hot water

- Location to be installed and associated submittals and/or plumbing drawings.

Envelope improvements

- Documentation showing completion or application to other NYSEG/RG&E weatherization programs. Customers may be eligible for bundled incentive packages through NYSEG or RG&E. Consult the Market Rate Multifamily and Non-Residential Building Electrification Rebate Catalog for details.

Additional requirements for phased electrification projects with backup heating system(s)

- Description of any existing or new heating system or system components serving the same space as the heat pump system.
 - No gas equipment simultaneously installed with non-residential or market rate multifamily eligible electrification equipment.
 - Description of the control strategy that will be employed to ensure that the heat pump system is prioritized for heating.

For projects applying for funding from NYSERDA programs

- Documentation showing program(s) applied for and award amount, such as award letter from NYSERDA.

Account holder information

Account Name <i>(as shown on your NYSEG or RG&E bill)</i>	Customer Federal Tax ID Number or EIN		
Contact Name	Title	Email	
Mailing Address	City	State	ZIP
Office Phone	Mobile Phone		
Electric Billing Account Number <i>(Required for existing buildings)</i>			

³ Equipment installed in commercial buildings must be sized in accordance with heating and cooling load calculations following ANSI/ASHRAE/ACCA Standard 183-2007 (RA2017) or other code-approved equivalent computational procedure.

Site information

Service Address _____

City _____

State _____

ZIP _____

Building Type *(Check one, select building type with largest square feet for mixed-use buildings):*

- | | | |
|--|---|--|
| <input type="checkbox"/> Office | <input type="checkbox"/> Warehouse | <input type="checkbox"/> Market Rate Multifamily (< 4 floors) # dwelling units _____ |
| <input type="checkbox"/> Assembly | <input type="checkbox"/> Laboratory | <input type="checkbox"/> Market Rate Multifamily (≥ 4 floors) # dwelling units _____ |
| <input type="checkbox"/> Health | <input type="checkbox"/> Hotel # dwelling units _____ | <input type="checkbox"/> Other _____ |
| <input type="checkbox"/> Light Manufacturing | <input type="checkbox"/> Retail | |
| <input type="checkbox"/> Restaurant | <input type="checkbox"/> School | |

Age of building _____ Number of buildings _____ Square footage conditioned by heat pumps _____ sq. ft.

Project Type *(select one):* Retrofit Gut Rehab^{4,5} New Construction⁵

Existing Heating System:

Fuel: Natural Gas Oil Propane Electric Resistance Other

What will happen to the existing heating system? *(if applicable)*

Removed from site Decommissioned *(equipment inoperable)* Left in place *(equipment operable)*

Heat Pump Heating System Scope: *(please attach explanation of the project scope)*

Will the heating system serve the entire building? Yes No

Percentage of heating load of the project scope to be served by heat pump: >100% Full Load >100% Phased Electrification

Will there be any other heating system serving the area in addition to the heat pump? Yes No

If Yes, describe system left in place _____

Proposed Technology *(check all that apply):*

Space Heating Equipment

- NEEP-Listed ASHP
- VRF Heat Pump
- Large Unitary Heat Pump
- Ground-Source Heat Pump
- ERV/HRV
- Heat Pump – DOAS

Water Heating Equipment

- Residential-Rated Air-Source HPWH
- Commercial Air-Source HPWH
- Ground-Source Heat Pump

Other

- Heat Recovery Chiller
- Heat Pump Chiller
- _____

Start Date of Project _____ Expected Completion Date _____ Equipment Installed and Commissioned _____

Contractor, vendor and incentive payment information

Installation contractor *(Required. Installer must be a Clean Heat Participating Contractor.)*

Company Name _____

Contact Person _____

Street Address _____

City _____

State _____

ZIP _____

Phone _____

Email Address _____

Is this party completing this application? Yes No

⁴ Gut Rehab is a renovation that removes material down to structural load-bearing beams, as defined by the NY TRM.

⁵ New construction eligibility is limited to ground-source heat pump and domestic hot water heating projects only. Air-source heat pump new construction projects are not eligible for incentives.

Project designer (Required if applicant is not the Account Holder or Installation Contractor)

Company Name	Contact Person		
Street Address	City	State	ZIP
Phone	Email Address		
Federal Tax ID Number or EIN (Required if receiving incentive)			
Is this party completing this application? <input type="checkbox"/> Yes <input type="checkbox"/> No			
Type of Company <input type="checkbox"/> Equipment Vendor <input type="checkbox"/> Engineering Firm <input type="checkbox"/> Other _____			

Main incentive payee (If Account Holder is not the payee, signature is required below)

Payee Account Holder Installation Contractor Project Designer Other (fill out below)

Name	Contact Person		
Street Address	City	State	ZIP
Phone	Email Address		
Federal Tax ID Number or EIN (Required if receiving incentive)			
<input type="checkbox"/> The Account Holder hereby authorizes the total incentive to be paid directly to the Party referenced above. If this box is checked, the NYSEG or RG&E Account Holder must sign here, and the signature must match the signature at the end of this application. The entity being paid must provide their W-9.			
Account Holder (please print)		Title (please print)	
Account Holder Signature		Date	

Terms and conditions

- 1. ATTESTATION:** I hereby request a rebate for the listed work. I certify that all information on this application is correct to the best of my knowledge and that I have read and agree to all Terms and Conditions of this rebate. I certify that a qualified installer will be hired to install the listed energy-efficient equipment in accordance with program Guidelines and Terms and Conditions as described on this form. This rebate is for the benefit of NYSEG or RG&E's (the Companies) active non-residential or residential electric Customers. Program offerings are subject to change without notice. I understand that some restrictions may apply. The Companies reserve the right to conduct field inspections to verify installations. I acknowledge that the Companies, their company partners, New York agencies and authorities will use this information and my attestation to determine whether a rebate will be issued. I understand that any omissions, misrepresentations or inaccuracies on this application may be a basis for a rebate denial, and I assume full liability for any misrepresentations I make regarding this application.
- 2. SUBMISSION DEADLINE:** Pre-approval from the Companies is required on all custom applications unless waived by NYSEG or RG&E. Rebate application must be filled out completely, signed, accompanied by dated itemized receipt(s) and proof of ownership (when necessary). All rebated equipment must be installed by the date agreed upon by the Companies and Contractor.
- 3. CUSTOMER ELIGIBILITY:** Rebates are available to active non-residential Customers for the purchase and installation of qualifying energy-saving measures in the Companies' service territory. Rebates are offered on a first-come, first-served basis and are subject to project and Customer eligibility and availability of funds. Each Customer must provide a valid utility account number.
- 4. EQUIPMENT ELIGIBILITY:** Heat pump technology must be installed by a Participating Contractor associated with the Market Rate Multifamily and Non-Residential Building Electrification Program. The Companies will not pay for any heat pump or alternative electrification technology that is not eligible per the requirements in the program documents or application. There will be no substitute heat pump or electrification technology unless the substitute is approved by the Companies in advance of installation. All eligible technology must be installed in conformance with federal, state and local code requirements. For scenarios in which Custom project eligibility is not clearly defined, refer to the eligibility section of the Market Rate Multifamily and Non-Residential Building Electrification Rebate Catalog.
- 5. PROOF OF PURCHASE:** The invoice must indicate the full project costs (material and labor), date of purchase, size, type, make, model, serial number, part number and/or equipment manufacturer specification sheets.
- 6. DOUBLE DIP:** Customers may not apply for or receive multiple incentives for the same measures from another gas or electric utility. Customers may utilize NYSEDA incentives, provided conditions specified in Market Rate Multifamily and Non-Residential Building Electrification Rebate Catalog, are satisfied.
- 7. COMPLIANCE:** All projects must comply with all federal, state and local laws, rules, regulations and codes, as applicable. Existing equipment must be removed and disposed of in a proper and legal manner. The Companies encourage that equipment be disposed of and/or recycled in an environmentally friendly manner. Equipment must meet specification requirements. Only one Market Rate Multifamily and Non-Residential Building Electrification rebate will be granted for each project.
- 8. PAYMENT:** Once completed paperwork is submitted and approved by the Companies, rebate payments will be made within an estimated 6-8 weeks. Incomplete applications will be returned. The benefits/payments conferred upon the Customer through participation in this Program may be taxable by the federal, state and local government. The Customer is responsible for declaring and paying all such taxes. The party receiving the incentive payment (Customer or Contractor) must complete IRS Form W-9 and submit it to the Companies with the incentive application materials.
- 9. REBATE FINANCIAL LIMITS:** Current incentive caps are prescribed in the Incentive Limitations and Caps section of the Market Rate Multifamily and Non-Residential Building Electrification Rebate Catalog. The Companies reserve the right to cap incentive amounts on a per-project or per-Customer basis per the needs of the Program.
- 10. PROGRAM EVALUATION:** Customer agrees to cooperate with Program evaluation activities to support evaluation and measurement of energy savings, cost effectiveness and effectiveness of Program processes. This may include on-site inspections, installation of energy metering devices, participation in interviews and surveys, and other evaluation activities.
- 11. PROJECT-LEVEL INFORMATION SHARING:** Customer information may also be provided to the New York State Public Service Commission. Any Customer information provided to the New York State Public Service Commission will be aggregated with information about other Customers and not personally identifiable. Customer agrees and authorizes the utility's sharing of the participant-Customer's information and/or project-level information with New York State Department of Public Service Staff and NYSEDA, including its agents or authorized representatives, consistent with NYSEDA's New York State Public Service Commission and statutorily authorized responsibilities, including, but not limited to, supporting market development initiatives, and other evaluation and measurement activities. (For clarity, the term "project level" includes the information based on the scope of the project, including, but not limited to, aggregated and anonymized whole building, building or subsets of the project.)
- 12. DISCLAIMERS:** The Companies do not endorse any particular market provider, manufacturer, product, labor or system design by offering this Program; will not be responsible for any tax liability imposed on a Customer as a result of the payment of rebates; do not expressly or implicitly warrant the performance of installed equipment or Contractor's quality of work (contact your Contractor for detailed warranties); are not responsible for proper and legal disposal/recycling of any waste generated as a result of this project; and are not liable for any damage caused by the installation of the equipment or for any damage caused by the malfunction of the installed equipment.
- 13. APPLICATION DOES NOT ENTITLE CUSTOMER TO PARTICIPATE:** The Program described in this application may be altered, suspended or canceled by the Companies at any time without prior notice. Under such circumstances, the Customer is not entitled to any Program benefits in excess of those approved prior to such action by the Companies. Submission of a completed application does not entitle the Customer to Program participation. Entitlement to Program participation can only occur after the Companies have signed a copy of the application and granted pre-approval if required by the Companies.
- 14. NO WARRANTIES:** The Customer's reliance on warranties is limited to any warranties that may arise from or be provided by Contractors, Vendors, etc. The Customer acknowledges that neither the Companies nor any of its consultants are responsible for assuring the design, engineering and construction of the facility or equipment or the installation of the energy savings measures is proper or complies with any particular laws (including patent laws), codes or industry standards. THE COMPANIES DO NOT MAKE ANY REPRESENTATIONS OF ANY KIND REGARDING THE RESULTS TO BE ACHIEVED BY THE ENERGY SAVINGS MEASURES OR THE ADEQUACY OR SAFETY OF SUCH MEASURES, INCLUDING, BUT NOT LIMITED TO, WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE.
- 15. LIMITATION OF LIABILITY:** The Companies' sole liability is limited to paying the properly qualified heat pump or approved alternative electrification technology rebates specified herein. Neither the Companies nor any of its affiliates shall be liable to the Customer or any other party for any damages whatsoever, including, without limitation, indirect, consequential or incidental damages, regardless of the theory of recovery, caused by or arising from any activities associated with this Program.
- 16. OBLIGATIONS BETWEEN THE PARTIES:** Customer acknowledges that any Contractor selected by the Customer is not an Agent, Contractor or Subcontractor of the Companies. The Companies shall have no obligation to maintain, remove or perform any work whatsoever on the energy-saving measures installed. The Companies shall have no liability for Contractor's failure to perform, for failure of the energy-saving measures to function, for any damage to the Customer's premises caused by the Contractor or for any and all damages to property or injuries to persons caused by the energy-saving measures.
- 17. ENERGY BENEFITS:** The Companies are entitled to 100% of the energy benefits associated with the energy-saving measures, excluding the value of energy cost savings realized by the Customer.
- 18. CUSTOMER'S CERTIFICATION:** Customer agrees that all information is true and that they have conformed to all initiative and equipment requirements listed. Customer has verified that the units listed above have been installed correctly. Customer or Customer's representative has been instructed on how to operate and maintain this equipment and has received all necessary operation and maintenance manuals.

Attestation

I hereby request a rebate for the listed work. I certify that all information above is correct to the best of my knowledge and that I have read and agree to all Terms and Conditions of this rebate. I certify that a qualified installer will be hired to install the listed energy-efficient equipment in accordance with Program Guidelines and Terms and Conditions as described on this form. This rebate is for the benefit of NYSEG's or RG&E's (the Companies) active non-residential customers. Customers may not apply for or receive multiple incentives for the same measure from another gas or electric utility. Program offerings are subject to change without notice. I understand that some restrictions may apply. The Companies reserve the right to conduct field inspections to verify installations. I acknowledge that the Companies, their company partners, New York agencies and authorities will use this information and my attestation to determine whether a rebate will be issued. I understand that any omissions, misrepresentations or inaccuracies on this application may be a basis for a rebate denial, and I assume full liability for any misrepresentations I make regarding this application.

Customer or Authorized Representative Name *(please print)*

Title *(please print)*

Date

Authorized Signature

Authorized Representative's Company Name *(if applicable)*
