

**New York State Electric & Gas Corporation
Rochester Gas and Electric Corporation**

2023 Bulk Power Energy Storage Request for Proposals

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APPENDIX C4 – Permitting & Schedule

Permits

Bidders are responsible for obtaining all permits (e.g., building, site, transportation), and must include a permitting plan and cost estimates related to these activities as part of the Project’s all-in costs. Bidders are responsible for all costs associated with these permits, and are responsible for compliance, mitigation measures or other conditions of approval associated with these permits. Bidders should provide these costs as part of their response. A listing of all permits and certifications required, the associated status, and actual or anticipated completion date of each must be included in the Offer. For permits and certifications not already achieved, a detailed description of the steps and schedule to achieve each should be included for consideration in the evaluation of Project viability.

For more information regarding New York State storage permitting, please see the New York City permitting guide¹, and the statewide Battery Storage Permitting Guide².

Definitions for terms are in the Energy Storage Services Agreement (Appendix D1/D2) and Section 6 of the RFP

1. Provide evidence of completed permits as required by Fire Departments of jurisdictions in the area of upstate New York relevant to the proposed project.
2. Provide copies of all required NFPA UL certifications, including UL 1642, UL 1973 (2018), UL 1741 (2010), UL 9540 (2020) and NFPA 70. Submission of UL 9540A (4th Edition) testing data will also be required. If not already achieved at the time of the bid, provide a detailed description of the steps and schedule required to achieve UL9540A reporting and submission of test data, compliance and certification.
3. Provide copies of any additional system certifications required by Government Authorities.
4. Create a table of permits and discretionary approvals required from local, state, and federal Governmental Authorities for both the Project and any interconnection related upgrades under consideration. List all permits that are required (environmental studies/permits, etc.), including those not currently held and the status of permits in the application phase. List the expiration date of all discretionary permits already obtained and the agency process for granting. Provide links to agency or applicant web sites where permit information is available.

¹ https://nysolarmap.com/media/2101/li-ion-permitting-guide-2020-updated_11320.pdf

² <https://www.nyscrda.ny.gov/All-Programs/Programs/Clean-Energy-Siting/Battery-Energy-Storage-Guidebook>

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A sample permit table is shown below for illustration only. Bidder must insert a project-specific permitting list in the format below.

No.	Permit Type / Name ³	Issuing Agency	Completion Date
1	New Building	DOB	
2	Letter of Conditional Acceptance	DOB- OTCR	
3	Final Acceptance	DOB-OTCR	
4	Electrical Permit	DOB	
5	Construction Permit	DOB	
6	Operational Permit	FD	

³ Permits shown are not intended to be complete or necessarily chronological. Bidders should provide a complete, project-specific set of permits in Offer submission.

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Project Milestone Schedule

An appropriately detailed project milestone schedule will help NYSEG and RG&E evaluate the Bidder’s understanding of the energy storage project development process in New York and the proposed Project’s likelihood of meeting the requirement to have a Commercial Operation Date before December 31, 2028.

1. Please provide a high-level project schedule describing financing, permitting, engineering, procurement, construction, interconnection, and startup activities, timelines and status. See the Agreement (Appendix D1/D2) for specific Milestone and Critical Path Milestone requirements.

Bidder to insert project-specific list in the format below.

No.	Milestones ⁴	Actual or Expected Date
1	Example Milestone 1	
2	Example Milestone 2	
3	Example Milestone 3	
.....	
X	Example Milestone X	

2. Discuss any risks or challenges anticipated with the overall Project and construction schedule including plans to address/mitigate.

⁴ Milestones and Critical Path Milestones in the Agreement must be included at a minimum.